Locks and Barriers

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Scenario

Multiple cars want to drive from point A to point B.

Sequential Programming

They can compete for space on the same road and end up either:

- following each other
- or competing for positions (and having accidents!).

Parallel Programming

Or they could drive in parallel lanes, thus arriving at about the same time without getting in each other’s way.

Distributed Programming

Or they could travel different routes, using separate roads.
What do you remember from ... yesterday?

<table>
<thead>
<tr>
<th>Communication</th>
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<tbody>
<tr>
<td>- Reading and Writing shared variables</td>
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<tr>
<td>- Sending and Receiving messages</td>
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Communication $\Rightarrow$ Synchronisation

<table>
<thead>
<tr>
<th>Synchronisation</th>
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<tr>
<td>- Mutual Exclusion</td>
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<tr>
<td>- Condition synchronisation</td>
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Cache coherency

Shared Memory

A: $ \quad$ B: $\text{red}$

Thread

Read A
Read A
... 
... 
Read A

Thread

... 
Read A
... 
Write A

Thread

Read B
... 
Read A
Memory ordering

Thread 1
- LD A
- ST B'
- LD C
- ST D'
- LD E
- ...
- ...

Thread 2
- ST A'
- LD B'
- ST C'
- LD D
- ST E'
- ...
- ...

Thread 1
- LD A
- ST B'
- LD C
- ST D'
- LD E
- ...
- ...

Thread 2
- ST A'
- LD B'
- ST C'
- LD D
- ST D'
- LD E
- ST E'
- ...
- ...
Memory model

Memory model flavors

- **Sequentially Consistent**: Programmer’s intuition
- **Total Store Order**: Almost Programmer’s intuition
- **Weak/Release Consistency**: No guaranty

Memory model is tricky
Dekker’s algorithm, in general

Initially $A = 0, B = 0$

“fork”

$A := 1$
if ($B == 0$) print (“$A$ wins”);

$B := 1$
if ($A == 0$) print (“$B$ wins”);

Can both $A$ and $B$ win?

The answer depends on the memory model

“Contract” between the HW and SW developers.
Demo – on Dekker’s algorithm

```c
int data = 0; ▶ Shared variable
int n = ...; ▶ Iterations counter

department

▶ process 1 increments
-----------------------------------------------------------------------
int a; ▶ local copy
for n iterations {
    a=data;
    a++;
    data=a;
}
-----------------------------------------------------------------------

▶ process 2 decrements
-----------------------------------------------------------------------
int b; ▶ local copy
for n iterations {
    b=data;
    b--;
    data=b;
}
-----------------------------------------------------------------------

if(data==0){print(‘No problem’);} else{print(‘Eh??’);}
Demo – Adding locks

- Declaration: `pthread_mutex_t my_lock;`
- Initialization: `pthread_mutex_init(&my_lock,NULL);`
- Locking: `pthread_mutex_lock(&my_lock);`
- Unlocking: `pthread_mutex_unlock(&my_lock);`
What about the Compiler?

Usage of the \texttt{volatile} keyword in C.

\begin{verbatim}
int data = 0; ▶ \textit{Shared variable}

\textbf{for} n iterations \{ \\
    data++; \\
\}

\textbf{for} 20 times \{ \\
    if (data==0) \{ \\
        print("No changes"); \\
    \} \textbf{else} \{ \\
        print("I saw one"); \\
    \} \\
\}
\end{verbatim}
Recall Demo Locks

Locks

How do we make a thread wait?

A solution:

Check repeatedly a condition until it becomes true.

- Virtue: We can implement it using only the machine instructions available on modern processors
- but powerful for multi-processor
- Even hardware uses busy-waiting
  (ex: synch of data transfers on memory busses)

Another solution:

- Waiting threads are de-scheduled
- High overhead
- Allows processor to do other things

Hybrid methods: Busy-wait a while, then block
What for?

**Critical Section Problem**

```
LOCK(bank_account) ▶ Wait for your turn
if (sum_to_withdraw > account_balance) {
    account_balance = account_balance - sum_to_withdraw;
}
UNLOCK(bank_account) ▶ Release the lock
```

**Critical Section Problem – Correct?**

```
if (sum_to_withdraw > account_balance) {
    LOCK(bank_account) ▶ Wait for your turn
    account_balance = account_balance - sum_to_withdraw;
    UNLOCK(bank_account) ▶ Release the lock
}
```
Critical Section

\[ \text{CO} \ [\text{Process } i = 1 \text{ to } n] \ \{ \]
\[ \text{while (true) } \{ \]
\[ \text{LOCK(resource);} \]
\[ \text{Do critical section work; (using that resource)} \]
\[ \text{UNLOCK(resource);} \]
\[ \leftrightarrow \text{ Do NON-critical section work;} \]
\[ \} \]
\[ \} \]

Assumption

A process that enters its CS will eventually exit
\[ \Rightarrow \text{ A process may only terminate in its NON-critical section} \]
Challenge

Task
Design the **LOCK** and **UNLOCK** routines.

Ensuring:
- Mutual Exclusion
- No deadlocks
- No unnecessary delays
- Eventual Entry
LOCK / UNLOCK must ensure:

**Mutual Exclusion**
At most one process at a time is executing its CS.
*Bad state:* 2 processes are in their CS.

**No deadlocks**
If two or more processes are trying to enter their CSs, at least one will succeed.
*Bad state:* all processes are waiting to enter their CS, but none is able to.

**No unnecessary delays**
If a process is trying to enter its CS and the other processes are executing their non-CSs or have terminated, the first process is not prevented from entering its CS.
*Bad state:* A process that wants to enter cannot do so, even though no other process is in its CS.

**Eventual Entry**
A process that is attempting to enter its CS will eventually succeed.
Let \( \text{in1} \) and \( \text{in2} \) be boolean variables.

- \( \text{in1} \) is true if Process 1 is in its CS, false otherwise
- \( \text{in2} \) is true if Process 2 is in its CS, false otherwise
- Avoid that both \( \text{in1} \) and \( \text{in2} \) are true

**MUTEX:** \( \neg (\text{in1} \land \text{in2}) \)

**A solution:**
\[
\text{wait} \_ \text{until}(\neg \text{in2}) \text{ and then } \text{in1} = \text{true}; // \text{ATOMICALLY!!} \\
<\text{wait} \_ \text{until}(\neg \text{in2}) \text{ and then } \text{in1} = \text{true};>
\]
Coarse-grained solution

bool in1 = false, in2 = false;

\[MUTEX: \neg(in1 \land in2)\]

\[\text{Process 1}\]
while (true) {
    < wait_until(!in2) and then
    in1 = true; >
    Do critical section work
    in1 = false;
    Do NON-critical section
}

\[\text{Process 2}\]
while (true) {
    < wait_until(!in1) and then
    in2 = true; >
    Do critical section work
    in2 = false;
    Do NON-critical section
}

But \(n\) processes \(\Rightarrow\) \(n\) variables...
Coarse-grained solution

Only 2 interesting states: locked and unlocked
⇒ 1 variable is enough

bool lock = false;

while (true) {
    \(\text{Process 1}\)
    \(< wait\_until(!lock) \) and then
    lock = true;
    Do critical section work
    lock = false;
    Do NON-critical section
}

while (true) {  \(\text{Process 2}\)
    \(< wait\_until(!lock) \) and then
    lock = true;
    Do critical section work
    lock = false;
    Do NON-critical section
}
How to?

< \texttt{await}(!\texttt{lock}) \text{ and then } \texttt{lock} = \texttt{true}; >

Read-Modify-Write atomic primitives

- **(TAS):**
  Value at \texttt{Mem[lock_addr]} loaded in a specified register.
  Constant “1” atomically stored into \texttt{Mem[lock_addr]}

- **(Swap):**
  Atomically swaps the value of \texttt{REG} with \texttt{Mem[lock_addr]}

- **(CAS):**
  Swaps if \texttt{Mem[lock_addr]}==\texttt{REG2}

- **(FA):**
  Increments a value by a given constant and returns the old value
bool TAS(bool lock) {
    bool initial = lock;
    lock = true;
    return initial;
}
bool TAS(bool lock) {
    bool initial = lock;  // Save the initial value
    lock = true;          // Set lock
    return initial;       // Return initial value
}

lock(lock_variable) {
    // Bang on the lock until free
}

unlock(lock_variable) {
    // Reset to the initial value
}
Handing over the lock

at handover. Even worse with TAS (N writes)
Test and Test and Set

lock(lock_variable) {
    while(TAS(lock_variable)==true){};
}

delta

lock(lock_variable) {
    More optimistic solution
    while (true) {
        if(TAS(lock_variable)==false) break;
        Bang on the lock once
            while(lock_variable==true){};
    }
}

for coherence, but still a lot at handover
lock(lock_variable) {
  while (true) {
    if(TAS(lock_variable)==false) break; \textit{Bang on the lock once}
    while(lock_variable==true){};
  }
}

Can the same thread
- succeed to grab the lock
- perform its critical section
- release the lock
- perform its non-critical section
- and race back to grab the lock again?
Tie Breaker – Petersson’s algorithm

Remember who had the lock latest!

```cpp
bool in1 = false, in2 = false;
int last = ?;

▷ Process 1
while (true) {
    in1=true, last = 1;
    while (in2 and last==1){};
    Do critical section work
    in1=false;
    Do NON-critical section work
}

▷ Process 2
while (true) {
    in2=true, last = 2;
    while (in1 and last==2){};
    Do critical section work
    in2=false;
    Do NON-critical section work
}
Lower traffic at handover
Traditional chart for lock performance on a NUMA machine (round-robin scheduling)

Benchmark:

for $i = 1$ to 10000 {
    lock(L);
    A = A + 1;
    unlock(L);
}
Ticket-based lock

C0 [Process \( i = 1 \) to \( n \) ] {  
while (true) {  
    \(<\text{turn}[i] = \text{number}; \text{number} = \text{number}+1;>\)  
    \(<\text{await}(\text{turn}[i] == \text{number});>\)  
    Do critical section  
    \(<\text{next} = \text{next}+1;>\)  
    Do NON-critical section  
}  
}

Fetch and Add (FA)

Increments a value by a given constant and returns the old value

C0 [Process \( i = 1 \) to \( n \) ] {  
while (true) {  
    \(\text{turn}[i] = \text{FA}(\text{number},1);\)  
    while(\(\text{turn}[i] \neq \text{next}\)){}; \(\text{\(\triangleright\)Can even have a back-off}\)  
    Do critical section  
    next = next+1; \(\text{\(\triangleright\)Is that safe?}\)  
    Do NON-critical section  
}  
}
Barriers

Barrier synchronisation

```c
CO [Process i = 1 to n] {
    while (true) {
        code for task i
        → wait for all n tasks to complete ←
    }
}
```

Definition (A barrier)

coordination mechanism (an algorithm) that forces processes which participate in a concurrent (or distributed) algorithm to wait until each one of them has reached a certain point in its program. The collection of these coordination points is called the barrier. Once all the processes have reached the barrier, they are all permitted to continue past the barrier.
Halt!... Papier, bitte...
Why?

Using barriers, often, enables significant simplification of design for concurrent programs.

The programmer may design an algorithm under the assumption that the algorithm should work correctly only when it executes in a synchronous environment (where processes run at the same speed or share a global clock).

Then by using barriers for synchronisation, the algorithm can be adapted to work also in an asynchronous environment.
How?

Reuseable barrier

Wish: employ in order to

On system, local spinning if:
- busy-waits only on locally-cached data
- stops waiting when the data on which it spins change
Atomic counter

- Counter initially set to 0
- As soon as a process reaches the barrier,
  - `< counter = counter + 1; >`
  - busy-waits
- when \( \text{counter} = n \)
  - the \textit{last} process to increment the counter signals the other processes that they may continue to run past the barrier
  - resets to 0 the value of \text{counter} (\leftarrow \text{reusable})

Waiting and signaling work on a single bit go. The last process flips the bit.
Atomic counter

shared counter
shared go
local local.go

local.go = go;
< counter = counter + 1; >
if ( counter == n ) {
    counter = 0;
go = 1 - go;
} else {
    while(local.go == go){}
}

Initially 0, Ranges over {0,...,n}
Atomic bit
A bit
remembers the current value
atomically increment the counter
last to arrive at the barrier
reset
notify all
not the last
### Atomic counter – a bit better

- **shared counter**
  - Initially 0, Ranges over \{0,\ldots,n\}
- **shared go**
  - Atomic bit, initially 1
- **local local.go**
  - A bit, initially 1

```c
local.go = 1 - local.go;  // toggle its local bit
< counter = counter + 1; >  // atomically increment the counter
if ( counter == n ) {
    counter = 0;  // last to arrive at the barrier
    go = local.go;
} else {
    while(local.go \neq go){};  // not the last
}
```
Atomic counter – Local spinning

shared counter
shared go[1..n]
local local.go

\(\text{local.go} = \text{go}[i]\);  
\(\text{counter} = \text{counter} + 1\);  
if ( counter == n ) {  
\(\text{counter} = 0\);  
\(\text{for} (j = 1 \text{ to } n) \{ \text{go}[j] = 1 - \text{go}[j]; \}\)  
} else {  
\(\text{while}(\text{local.go} == \text{go}[i])\);  
}
**Atomic counter**

*Without memory initialization*

---

**shared** counter

- Ranges over \(\{0, \ldots, n-1\}\)

**shared** go

- Atomic bit

**local** local.go

- A bit

**local** local.counter

- Atomic register

---

\[
\text{local.go} = \text{go}; \\
\text{local.counter} = \text{counter}; \\
\langle \text{counter} = \text{counter} + 1; [n] \rangle \\
\text{repeat} \{ \\
    \text{if}( \text{counter} == \text{local.counter} ) \quad \triangleright \text{all processes have arrived} \\
    \text{then} \{ \text{go} = 1 - \text{go}; \} \quad \triangleright \text{notify all} \\
\} \text{ until}( \text{local.go} \neq \text{go}); \\
\]

---

Who toggles the go bit?
Atomic counter – Exercise – Correct?

```
shared counter  ▶ Initially 0, Ranges over {0,...,n}
shared go       ▶ Atomic bit
local local.go ▶ A bit

local.go = go;  ▶ remembers the current value
< counter = counter + 1; > ▶ atomically increment the counter
if ( counter == n ) {
    go = 1 - go;  ▶ last to arrive at the barrier
    counter = 0;
} else {
    while(local.go == go){}; ▶ not the last
}
```
Outline

1. Recall
2. Demonstration
3. Locks
4. Barriers
   - Strategies
   - Performance improvement through parallelization
...to multicores

Past
- Minimize communication between processors
- Maximize scalability (thousands of CPUs)

Multicores today
- Communication is “for free”
- Scalability is limited to 32 threads
- The caches are tiny
- Memory bandwidth is scarce

⇒ is the key!!
Case Study: Gauss-Seidel

Poisson’s equation

\[ \Delta \varphi = f, \quad \text{in } \Omega \]
\[ \varphi = 0, \quad \text{in } \partial \Omega \]

In 2D cartesian coordinates,

\[ \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \varphi(x, y) = f(x, y), \quad (x, y) \in \Omega \]
\[ \varphi(x, y) = 0, \quad (x, y) \in \partial \Omega \]

Used in fluid theory, electrostatics, ...
Discretization

\[ u_{i,j} \leftarrow \frac{u_{i,j} + u_{i+1,j} + u_{i-1,j} + u_{i,j+1} + u_{i,j-1}}{5} \]

\[ \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \]
Discretization – Gauss-Seidel
Sequential Sweep
Convergence

while ( not converged ) {
    Do a sweep;
}

while ( \| M_{new} - M_{old} \| > \epsilon ) {
    M_{old} = M_{new};
    M_{new} = \text{SWEEP}(M_{new});
}

But we simplify: Just do 20 sweeps!
Parallel Sweep
Barrier strategy – Not reausable

Shared counter

\[ CO \ (\text{Process } i = 1 \text{ to } n) \ \{ \]
\[
\begin{align*}
\text{Code to implement task } i \\
< \text{count} = \text{count} + 1; > \\
< \text{await(count} == n); > \\
\}
\]

\[ \text{FA(count,1); } \text{▷} \text{If no FA, use count++ and mutex} \]
\[ \text{while(count} \neq n ){}; \]

Flag

\[ \text{row_done[t]}=\text{line; } \text{▷} \text{Safe, since only one writer} \]

Problem: reset the counter for the barrier
Solution: throw away that counter and use another fresh one at the beginning of each sweep: counter[iter]